

### DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **TARGETING ANTIGENS TO THE MHC CLASS I PROCESSING PATHWAY WITH AN ANTHRAX TOXIN FUSION PROTEIN** the specification of which \_ is attached hereto or X was filed on September 15, 1997 as Application No. 08/937,276 and was amended on \_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119	
			Yes	No
			Yes	No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/025,270	9/17/96

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status		
		Patented	Pending	Abandoned
		Patented	Pending	Abandoned
		Patented	Pending	Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) of the Government of the United States of America as represented by the Secretary of the Department of Health and Human Services, Office of Technology Transfer, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Robert Benson, Reg. No. 33,612  
Steven M. Ferguson, Reg. No. 38,448  
James C. Haight, Reg. No. 25,588

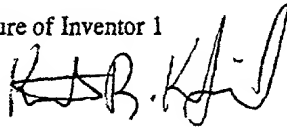
Gloria H. Richmond, Reg. No. 30,416  
Susan S. Rucker, Reg. No. 35,762  
David R. Sadowski, Reg. No. 32,808  
Jack Spiegel, Reg. No. 34,477

Express Mail No.  
EL 827035141 US

Send Correspondence to Appointed Associate Attorney or Agent Address: Annette S. Parent <b>TOWNSEND and TOWNSEND and CREW LLP</b> Two Embarcadero Center, 8th Floor San Francisco, CA 94111-3834	Direct Telephone Calls and Facsimiles to Appointed Associate Attorney or Agent:  Name: Annette S. Parent Reg. No. P-42,058 Telephone: (415) 576-0200 Fax: (415) 576-0300
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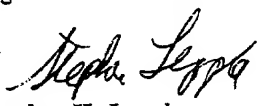
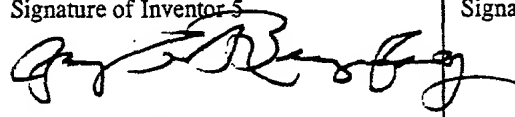
Full Name of Inventor 1	Last Name <b>KLIMPEL</b>	First Name <b>KURT</b>	Middle Name or Initial	
Residence & Citizenship	City <b>San Diego</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>12125 Fidelio Way</b>	City <b>San Diego</b>	State/Country <b>California</b>	Zip Code <b>92131</b>
Full Name of Inventor 2	Last Name <b>GOLETZ</b>	First Name <b>THERESA</b>	Middle Name or Initial <b>J.</b>	
Residence & Citizenship	City <b>Kensington</b>	State/Foreign Country <b>Maryland</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>4503 Everett Street</b>	City <b>Kensington</b>	State/Country <b>Maryland</b>	Zip Code <b>20895</b>
Full Name of Inventor 3	Last Name <b>ARORA</b>	First Name <b>NAVEEN</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Delhi</b>	State/Foreign Country <b>India</b>	Country of Citizenship <b>INDIA</b>	
Post Office Address	Post Office Address <b>G110 Ashok Vihar, Phase I</b>	City <b>Delhi</b>	State/Country <b>India</b>	Zip Code <b>110052</b>
Full Name of Inventor 4	Last Name <b>LEPPLA</b>	First Name <b>STEPHEN</b>	Middle Name or Initial <b>H.</b>	
Residence & Citizenship	City <b>Bethesda</b>	State/Foreign Country <b>Maryland</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>9501 Starmont Road</b>	City <b>Bethesda</b>	State/Country <b>Maryland</b>	Zip Code <b>20817</b>
Full Name of Inventor 5	Last Name <b>BERZOFKY</b>	First Name <b>JAY</b>	Middle Name or Initial <b>A.</b>	
Residence & Citizenship	City <b>Bethesda</b>	State/Foreign Country <b>Maryland</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>9321 Corsica Drive</b>	City <b>Bethesda</b>	State/Country <b>Maryland</b>	Zip Code <b>20814</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, Section 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 	Signature of Inventor 2	Signature of Inventor 3
Kurt Klimpel	Theresa J. Goletz	Naveen Arora
Date 4/14/98	Date	Date
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor
Stephen H. Leppla	Jay A. Berzofsky	
Date	Date	Date

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DECLASSIFIED

Signature of Inventor 1  Kurt Klimpel	Signature of Inventor 2  Theresa J. Goletz	Signature of Inventor 3  Naveen Arora
Date	Date 4/9/98	Date
Signature of Inventor 4  Stephen H. Leppla	Signature of Inventor 5  Jay A. Berzofsky	Signature of Inventor
Date 8 Apr 98	Date 4/9/98	Date

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DECLASSIFIED

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3 <i>Naveen</i>
Kurt Klimpel	Theresa J. Goletz	Naveen Arora
Date	Date	Date 13th April 1998
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor
Stephen H. Leppla	Jay A. Berzofsky	
Date	Date	Date

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1. **Introduction**  
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 99. **Notes**  
 100. **References**

# SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that: My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **TARGETING ANTIGENS TO THE MHC CLASS I PROCESSING PATHWAY WITH AN ANTHRAX TOXIN FUSION PROTEIN** the specification of which \_ is attached hereto or X was filed on September 15, 1997 as Application No. 08/937,276 and was amended on \_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119	
			Yes	No
			Yes	No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/025,270	9/17/96

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status		
		Patented	Pending	Abandoned
		Patented	Pending	Abandoned
		Patented	Pending	Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) of the Government of the United States of America as represented by the Secretary of the Department of Health and Human Services, Office of Technology Transfer, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Robert Benson, Reg. No. 33,612  
Steven M. Ferguson, Reg. No. 38,448  
James C. Haight, Reg. No. 25,588

Gloria H. Richmond, Reg. No. 30,416  
Susan S. Rucker, Reg. No. 35,762  
David R. Sadowski, Reg. No. 32,808  
Jack Spiegel, Reg. No. 34,477

Express Mail No.  
EL 827035141 US

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, Section 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3 <i>Naveen Arora</i>
Kurt Klimpel	Theresa J. Goletz	Naveen Arora
Date	Date	Date 31st May 1999.
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor
Stephen H. Leppia	Jay A. Berzofsky	
Date	Date	Date

223285

PATENT

Attorney Docket No. 15280-290100  
DHHS Ref. No. E-171-96/0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner: Unassigned
Klimpel et al.	)	Art Unit: Unassigned
Serial No.: 08/937,276	)	<u>APPOINTMENT OF ASSOCIATE</u>
Filed: September 15, 1997	)	<u>ATTORNEYS AND AGENTS BY</u>
For: TARGETING ANTIGENS TO THE	)	<u>PRINCIPAL ATTORNEY OR AGENT</u>
MHC CLASS I PROCESSING	)	<u>UNDER 37 CFR §1.34(b) AND MPEP</u>
PATHWAY WITH AN ANTHRAX	)	<u>402.02</u>
TOXIN FUSION PROTEIN	)	

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Under 37 CFR §1.34(b) and MPEP 402.02, the undersigned principal attorney or agent of record hereby appoints the following representatives from the law firm of TOWNSEND and TOWNSEND and CREW LLP, Two Embarcadero Center, 8th Floor, San Francisco, California 94111-3834, telephone: (415) 576-0200, as associate attorneys and/or patent agents in this application with full powers to prosecute this case and to transact all business in the Patent and Trademark Office connected therewith:

Hector A. Alicea, Reg. No. 40,891  
Randolph T. Apple, Reg. No. 36,429  
Kevin L. Bastian, Reg. No. 34,774  
Guy Chambers, Reg. No. 30,617  
Karen B. Dow, Reg. No. 29,684  
Gregory P. Einhorn, Reg. No. 38,440  
Susan K. Faris, Reg. No. P-41,739  
Renee A. Fitts, Reg. No. 35,136  
Eugenia Garrett-Wackowski, Reg. No. 37,330  
M. Henry Heines, Reg. No. 28,219  
Laurence J. Hyman, Reg. No. 35,551  
Tom Hunter, Reg. No. 38,498  
Jeffrey J. King, Reg. No. 38,515

Joe Liebeschuetz, Reg. No. 37,505  
Jeffrey S. Mann, Reg. No. P-42,837  
Lorie Ann Morgan, Reg. No. 38,181  
Annette S. Parent, Reg. No. P-42,058  
Steven W. Parmelee, Reg. No. 31,990  
Brian W. Poor, Reg. No. 32,928  
Margaret A. Powers, Reg. No. 39,804  
Jonathan Alan Quine, Reg. No. 41,261  
Timothy L. Smith, Reg. No. 35,367  
William M. Smith, Reg. No. 30,223  
Joseph P. Snyder, Reg. No. 39,381  
John R. Storella, Reg. No. 32,944  
P. Ben Wang, Reg. No. 41,420  
Ellen Lauver Weber, Reg. No. 32,762  
Kenneth A. Weber, Reg. No. 31,667

Dated: 4/20/98

Respectfully submitted,

United States Department of Health and Human Services

By Susan S. Rucker  
James C. Haight  
Reg. No. 25,588  
Office of Technology Transfer  
National Institutes of Health  
6011 Executive Boulevard, Suite 325  
Rockville, Maryland 20852  
Telephone: (301) 496-7056

Express Mail No.  
EL 827035141 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner: Unassigned
	)	
Klimpel et al.	)	
	)	Art Unit: 1644
Serial No.: 08/947,276	)	
	)	<u>APPOINTMENT OF ASSOCIATE</u>
Filed: September 15, 1997	)	<u>ATTORNEYS AND AGENTS BY</u>
	)	<u>PRINCIPAL ATTORNEY OR AGENT</u>
For: TARGETING ANTIGENS TO THE	)	<u>UNDER 37 CFR §1.34(b) AND MPEP</u>
MHC CLASS I PROCESSING	)	<u>402.02</u>
PATHWAY WITH AN ANTHRAX	)	
TOXIN FUSION PROTEIN	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Under 37 CFR §1.34(b) and MPEP 402.02, the undersigned principal attorney or agent of record hereby appoints the following representatives from the law firm of TOWNSEND and TOWNSEND and CREW LLP, Two Embarcadero Center, 8th Floor, San Francisco, California 94111-3834, telephone: (415) 576-0200, as associate attorneys and/or patent agents in this application with full powers to prosecute this case and to transact all business in the Patent and Trademark Office connected therewith:

Hector A. Alicea, Reg. No. 40,891  
Randolph T. Apple, Reg. No. 36,429  
Kevin L. Bastian, Reg. No. 34,774  
Guy Chambers, Reg. No. 30,617  
Karen B. Dow, Reg. No. 29,684  
Gregory P. Einhorn, Reg. No. 38,440  
Susan K. Faris, Reg. No. 41,739  
M. Henry Heines, Reg. No. 28,219  
Laurence J. Hyman, Reg. No. 35,551  
Tom Hunter, Reg. No. 38,498  
Jeffrey J. King, Reg. No. 38,515  
Joe Liebeschuetz, Reg. No. 37,505  
Jeffrey S. Mann, Reg. No. 42,837

Annette S. Parent, Reg. No. 42,058  
Steven W. Parmelee, Reg. No. 31,990  
Brian W. Poor, Reg. No. 32,928  
Margaret A. Powers, Reg. No. 39,804  
Jonathan Alan Quine, Reg. No. 41,261  
Timothy L. Smith, Reg. No. 35,367  
William M. Smith, Reg. No. 30,223  
Joseph P. Snyder, Reg. No. 39,381  
John R. Storella, Reg. No. 32,944  
Eugenia Garrett-Wackowski, Reg. No. 37,330  
Ellen Lauver Weber, Reg. No. 32,762  
Kenneth A. Weber, Reg. No. 31,667  
P. Ben Wang, Reg. No. 41,420

Dated: Aug. 31, 1998

Respectfully submitted,

United States Department of Health  
and Human Services

By Robert Benson

Reg. No.: 33,612  
Office of Technology Transfer  
National Institutes of Health  
6011 Executive Boulevard, Suite 325  
Rockville, Maryland 20852  
Telephone: (301) 496-7056

ASSIGNMENT

JOINT

WHEREAS, We, Kurt Klimpel of 12125 Fidelio Way, San Diego, California 92131, citizen of USA, Theresa J. Goletz of 4503 Everett Street, Kensington, Maryland 20895, citizen of USA, Naveen Arora of G110 Ashok Vihar, Phas I, Delhi India 110052, citizen of INDIA, Stephen H. Leppla of 9501 Starmont Road, Bethesda, Maryland 20817, citizen of USA and Jay A. Berzofsky of 9321 Corsica Drive, Bethesda, Maryland 20814, citizen of USA employees of the Department of Health and Human Services at the time the invention was made, have invented (title of invention): **TARGETING ANTIGENS TO THE MHC CLASS I PROCESSING PATHWAY WITH AN ANTHRAX TOXIN FUSION PROTEIN**, for which an application to the Assistant Commissioner for Patents for grant of Letters Patents of the United States of America has been executed by the undersigned

\_ ON (date(s) Declaration signed):

X is identified as U.S. Patent Application:  
Serial No. 08/937,276

Filed September 15, 1997; and

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States of America under Paragraph 1(a) of Executive Order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring all domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States of America; and

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the premises and other valuable considerations, we the undersigned, have sold, assigned, and transferred and by these presents do sell, assign, and transfer unto The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services (hereinafter THE GOVERNMENT), and his

successors, the full and exclusive right, title, and interest throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file the invention described in the aforesaid application for Letters Patent of the United States of America, and all Letters Patents and Registrations which may be granted on the same in the United States of America and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

AND, we hereby authorize and request the Assistant Commissioner for Patents to issue said Letters Patent to THE GOVERNMENT as assignee of the entire right, title, and interest in and to the same throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file, for the sole use for the full term or terms for which said Letters Patent and Registrations may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof which may be granted as fully and entirely as the same would have been held by us, had this assignment not been made;

AND, we hereby warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied with respect to the aforesaid application for Letters Patent which will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of this instrument by us;

AND, we hereby agree to execute any and all applications for Letters Patent in the United States of America and foreign countries, and to furnish and deliver to the Secretary, Department of Health and Human Services, upon request, all data and documents, and to execute any papers which may be necessary for the prosecution of any application or applications of Letters Patent or for THE GOVERNMENT to exercise its rights granted hereunder, including communicating to THE GOVERNMENT, its representatives or agents, any facts relating to said invention, including evidence for interference purposes, or for other proceedings, whenever requested, to testify in any interference or other proceedings, whenever requested, and to execute and deliver on request all lawful papers required to make

any of the foregoing provisions effective, and likewise make these provisions binding upon our heirs, legal representatives, administrators and assigns, and we shall assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

The undersigned hereby grants THE GOVERNMENT or the law firm of Townsend and Townsend and Crew LLP, the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Kurt Klimpel  
Kurt Klimpel

4/14/98  
Date

STATE OF CALIFORNIA  
COUNTY OF SAN DIEGO

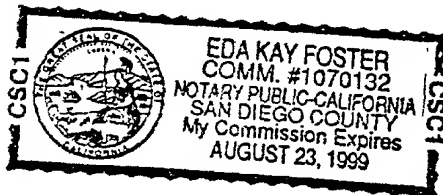
UNITED STATES OF AMERICA

On April 14, 1998, before me, EDA KAY FOSTER (here insert name and title of the officer), personally appeared **Kurt Klimpel** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Eda Kay Foster  
4/14/98

(Seal)



Theresa J. Goletz  
Theresa J. Goletz

4-9-98  
Date

STATE OF Maryland )  
COUNTY OF Montgomery )  
UNITED STATES OF AMERICA

On 4/9/98, before me, Theresa J. Goletz (here insert name and title of the officer), personally appeared Theresa J. Goletz personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Margaret L. Tomczak

(Seal)

MY COMMISSION EXPIRES  
MARCH 1, 1999  
MARGARET L. TOMCZAK  
NOTARY PUBLIC

Naveen  
Naveen Arora

13th April 1998  
Date

STATE OF DELHI )  
COUNTY OF INDIA )

UNITED STATES OF AMERICA

On 13th April 1998, before me, Yogendra Singh (here insert name and title of the officer), personally appeared Naveen Arora personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Yogendra Singh

(Seal)

Dr. Yogendra Singh  
Scientist  
Centre For Biochem. Technology  
Mall Road, Delhi-7

13th April, 1998

Stephen H. Leppla  
Stephen H. Leppla

8 Apr 98  
Date

STATE OF Maryland )

COUNTY OF Montgomery )

UNITED STATES OF AMERICA

On 4/8/98, before me, Chiquita O'Brien, Notary Public (here insert name and title of the officer), personally appeared Stephen H. Leppla personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Chiquita O'Brien

(Seal)



Jay A. Berzofsky 4/9/98  
Jay A. Berzofsky Date

STATE OF Maryland )  
COUNTY OF Montgomery )  
UNITED STATES OF AMERICA

On 4/9/98, before me, Jay A. Berzofsky (here insert name and title of the officer), personally appeared Jay A. Berzofsky personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Margaret L. Tomczak

(Seal)

MY COMMISSION EXPIRES  
MARCH 1, 1999  
MARGARET L. TOMCZAK  
NOTARY PUBLIC